

04-07-00

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (1/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	3976US (98-0063)
	First Inventor or Application Identifier	David A. Cathey
	Title	CORDLESS COMPUTER KEYBOARD WITH ILLUMINATED KEYS
	Express Mail Label No.	EL500248241US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
--	--

<p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 14] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2]</p> <p>4. Oath or Declaration [Total Pages 1]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>
--	--

<b>ACCOMPANYING APPLICATION PARTS</b>	
8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
9. <input checked="" type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
* Small Entity	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. <input type="checkbox"/> Statement(s)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Other:	

\* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

18. **CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label \_\_\_\_\_ or ☐ Correspondence address below \_\_\_\_\_  
(Insert Customer No. or Attach bar code label here)

Name	James R. Duzan				
Address	Trask, Britt & Rossa P.O. Box 2550				
City	Salt Lake City	State	Utah	Zip Code	84102
Country	U.S.A.	Telephone	(801) 532-1922	Fax	(801) 531-9168

Name (Print/Type)	James R. Duzan	Registration No. (Attorney/Agent)	28,393
Signature	<i>James R. Duzan</i>	Date	04/06/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>Not yet assigned</td> </tr> <tr> <td>Filing Date</td> <td>April 6, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>David A. Cathey</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Group / Art Unit</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket No.</td> <td>3976US (98-0063)</td> </tr> </table>		Application Number	Not yet assigned	Filing Date	April 6, 2000	First Named Inventor	David A. Cathey	Examiner Name	Unknown	Group / Art Unit	Unknown	Attorney Docket No.	3976US (98-0063)
Application Number	Not yet assigned														
Filing Date	April 6, 2000														
First Named Inventor	David A. Cathey														
Examiner Name	Unknown														
Group / Art Unit	Unknown														
Attorney Docket No.	3976US (98-0063)														
<p><b>TOTAL AMOUNT OF PAYMENT</b> (\$)</p> <p style="font-size: large; font-weight: bold;">1074.00</p>		<div style="text-align: right; font-size: small;">             JCS25 U.S. PTO              09/54/000              04/06/00           </div>													

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">20-1469</span></p> <p>Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">Trask, Britt &amp; Rossa</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p>	<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr> <tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath		127 50	227 25	Surcharge - late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 605	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____				Other fee (specify) _____			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																		
105 130	205 65	Surcharge - late filing fee or oath																																																																																																																			
127 50	227 25	Surcharge - late provisional filing fee or cover sheet																																																																																																																			
139 130	139 130	Non-English specification																																																																																																																			
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																			
115 110	215 55	Extension for reply within first month																																																																																																																			
116 380	216 190	Extension for reply within second month																																																																																																																			
117 870	217 435	Extension for reply within third month																																																																																																																			
118 1,360	218 680	Extension for reply within fourth month																																																																																																																			
128 1,850	228 925	Extension for reply within fifth month																																																																																																																			
119 300	219 150	Notice of Appeal																																																																																																																			
120 300	220 150	Filing a brief in support of an appeal																																																																																																																			
121 260	221 130	Request for oral hearing																																																																																																																			
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																			
140 110	240 55	Petition to revive - unavoidable																																																																																																																			
141 1,210	241 605	Petition to revive - unintentional																																																																																																																			
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																			
143 430	243 215	Design issue fee																																																																																																																			
144 580	244 290	Plant issue fee																																																																																																																			
122 130	122 130	Petitions to the Commissioner																																																																																																																			
123 50	123 50	Petitions related to provisional applications																																																																																																																			
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																			
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																			
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																			
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																			
Other fee (specify) _____																																																																																																																					
Other fee (specify) _____																																																																																																																					
<p><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 690</td><td>201 345</td><td>Utility filing fee</td><td>690</td></tr> <tr><td>106 310</td><td>206 155</td><td>Design filing fee</td><td>0</td></tr> <tr><td>107 480</td><td>207 240</td><td>Plant filing fee</td><td>0</td></tr> <tr><td>108 690</td><td>208 345</td><td>Reissue filing fee</td><td>0</td></tr> <tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td>0</td></tr> <tr><td colspan="3"><b>SUBTOTAL (1)</b></td><td><b>(\$) 690.00</b></td></tr> </tbody> </table> <p><b>2. EXTRA CLAIM FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104 260</td><td>204 130</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109 78</td><td>209 39</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td colspan="3"><b>SUBTOTAL (2)</b></td><td><b>(\$) 384.00</b></td></tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 690	201 345	Utility filing fee	690	106 310	206 155	Design filing fee	0	107 480	207 240	Plant filing fee	0	108 690	208 345	Reissue filing fee	0	114 150	214 75	Provisional filing fee	0	<b>SUBTOTAL (1)</b>			<b>(\$) 690.00</b>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim, if not paid		109 78	209 39	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>			<b>(\$) 384.00</b>	<p><b>SUBTOTAL (3)</b> (\$)</p> <p>Reduced by Basic Filing Fee Paid</p>																																																												
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																		
101 690	201 345	Utility filing fee	690																																																																																																																		
106 310	206 155	Design filing fee	0																																																																																																																		
107 480	207 240	Plant filing fee	0																																																																																																																		
108 690	208 345	Reissue filing fee	0																																																																																																																		
114 150	214 75	Provisional filing fee	0																																																																																																																		
<b>SUBTOTAL (1)</b>			<b>(\$) 690.00</b>																																																																																																																		
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																		
103 18	203 9	Claims in excess of 20																																																																																																																			
102 78	202 39	Independent claims in excess of 3																																																																																																																			
104 260	204 130	Multiple dependent claim, if not paid																																																																																																																			
109 78	209 39	** Reissue independent claims over original patent																																																																																																																			
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																			
<b>SUBTOTAL (2)</b>			<b>(\$) 384.00</b>																																																																																																																		

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	James R. Duzan	Registration No. (Attorney/Agent)	28,393
Signature	<i>James R. Duzan</i>	Telephone	(801) 532-1922
		Date	04/06/2000

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.